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CONFIRMATION NO. 6575

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.		
10/655,409	09/04/2003 RULE	705	3691	98-HSX001-C5		
APPLICANTS Timothy M. Keiser, Los Angeles, CA; Michael R. Burns, Los Angeles, CA; ** CONTINUING DATA ***** This application is a CON of 09/465,607 12/17/1999 which is a CON of 09/184,571 11/02/1998 PAT 6,505,174 which is a CIP of 08/620,906 03/25/1996 PAT 5,950,176 CGRAHAM 4/20/10 ** FOREIGN APPLICATIONS ***** ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY ** 11/28/2003						
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and /CLEMENT B GRAHAM/ Acknowledged Examiner's signature		<input type="checkbox"/> Met after Allowance cg Initials	STATE OR COUNTRY CA	SHEETS DRAWINGS 4	TOTAL CLAIMS 54	INDEPENDENT CLAIMS 6 1
ADDRESS INNOVATION DIVISION CANTOR FITZGERALD, L.P. 110 EAST 59TH STREET (6TH FLOOR) NEW YORK, NY 10022 UNITED STATES						
TITLE Computer-implemented securities trading system with a virtual specialist function						
FILING FEE RECEIVED 1014	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit			